

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

DEC 06 2004

SC10

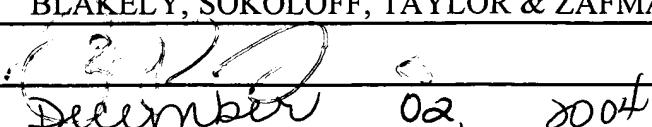
		Application No.	09/895,057
		Filing Date	June 28, 2001
		First Named Inventor	Curtis E. Jutzi
		Art Unit	2134
		Examiner Name	Tran, Ellen C.
Total Number of Pages in This Submission	13	Attorney Docket Number	42390P11869

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 150px; margin-top: 10px;">Return receipt postcard</div>
Remarks		IDS refs: 22 Foreign Patents, 35 Other Art Documents

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

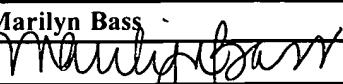
Firm or Individual name: Joseph Lutz, Reg. No. 43,765  
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature: 

Date: December 02, 2004

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Marilyn Bass
Signature	
Date	12 - 02 - 04

O I P E  
J C O  
DEC 06 2004  
U.S. PATENT AND TRADEMARK OFFICE

# FEETRANSMIETAL for FY 2004

Effective 01/01/2001. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** **( \$ )**

Complete if Known

Application Number	09/895,057
Filing Date	June 28, 2001
First Named Inventor	Curtis E. Jutzi
Examiner Name	Tran, Ellen C.
Art Unit	2134
Attorney Docket No.	42390P11869

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	790	2001	395	Utility filing fee
1002	350	2002	175	Design filing fee
1003	550	2003	275	Plant filing fee
1004	790	2004	395	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	20	= <input type="text"/>	<input type="text"/>
Independent Claims	3	= <input type="text"/>	<input type="text"/>

Multiple Dependent

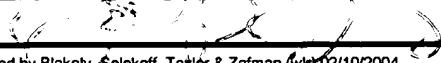
Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple Dependent claim, if not paid
1204	88	2204	44	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		

\*\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet.
2053	130	2053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1804	920 *	1804	920 * Requesting publication of SIR prior to Examiner action
1805	1,840 *	1805	1,840 * Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	430	2252	215 Extension for reply within second month
1253	980	2253	490 Extension for reply within third month
1254	1,530	2254	765 Extension for reply within fourth month
1255	2,080	2255	1,040 Extension for reply within fifth month
1404	340	2401	170 Notice of Appeal
1402	340	2402	170 Filing a brief in support of an appeal
1403	300	2403	150 Request for oral hearing
1451	1,510	2451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive - unavoidable
1453	1,370	2453	685 Petition to revive - unintentional
1501	1,370	2501	685 Utility issue fee (or reissue)
1502	490	2502	245 Design issue fee
1503	660	2503	330 Plant issue fee
1460	130	2460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	790	1809	395 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	790	2810	395 For each additional invention to be examined (37 CFR § 1.129(b))
1801	790	2801	395 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (specify)			
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	
		(\$)	

Complete (if applicable)

Name (Print/Type)	Joseph Lutz	Registration No. (Attorney/Agent)	43,765	Telephone	(310) 207-3800
Signature				Date	12 - 02 - 04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CURTIS E. JUTZI, ET AL.

Application No.: 09/895,057

Filed: June 28, 2001

Art Group: 2134

Examiner: Tran, Ellen C.

AN APPARATUS AND METHOD FOR  
ENABLING SECURE CONTENT  
DECRYPTION WITHIN A SET-TOP  
BOX

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

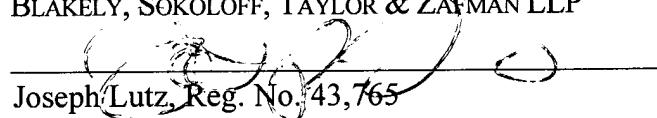
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

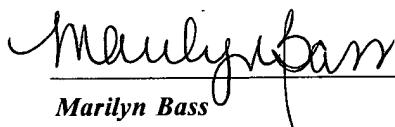
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Dec. 02, 2004

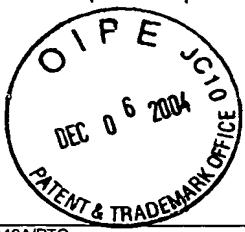
  
Joseph Lutz, Reg. No. 43,765

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Marilyn Bass

12/02/04  
Date



PTO/SB/03A(10-01)  
Approved for use through 10/31/2002. OMB 651-0031  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known <b>Application Number</b> 09/895,057 <b>Filing Date</b> June 28, 2001 <b>First Named Inventor</b> Curtis E. Jutzi <b>Group Art Unit</b> 2134 <b>Examiner Name</b> Tran, Ellen C.				
Sheet 1 of 7		Attorney Docket No: 42P11869				

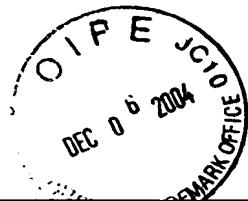
US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-20010012299A1	08/09/2001	Dahlen, Robert L.			12/31/1998
	US-20010013127A1	08/09/2001	Tomita, Yasumasa , et al.			04/11/1997
	US-20010037507A1	11/01/2001	Mori, Toshiya			04/13/2001
	US-4,602,279	07/22/1986	Freeman, Michael J.	358		03/21/1984
	US-5,075,771	12/24/1991	Hashimoto, Kazuo			05/07/1990
	US-5,155,591	10/13/1992	Wachob, David E.			10/23/1989
	US-5,392,223	02/21/1995	Caci, Joseph C.			07/29/1992
	US-5,410,344	04/25/1995	Graves, Gordon T., et al.			09/22/1993
	US-5,444,499	08/22/1995	Saitoh, Mitsumasa			12/21/1993
	US-5,446,919	08/29/1995	Wilkins, Jeff K.			10/09/1991
	US-5,483,278	01/09/1996	Strubbe, Hugo J., et al.			09/28/1993
	US-5,534,911	07/09/1996	Levitin, Gutman			11/02/1994
	US-5,559,549	09/24/1996	Hendricks, John S., et al.			12/02/1993
	US-5,564,088	10/08/1996	Saitoh, Mitsumasa			03/29/1994
	US-5,585,838	12/17/1996	Lawler, Frank A., et al.			05/05/1995
	US-5,600,364	02/04/1997	Hendricks, John S., et al.			12/02/1993
	US-5,664,091	09/02/1997	Keen, D. H.			08/31/1995
	US-5,686,954	11/11/1997	Yoshinobu, Hitoshi , et al.			06/06/1995
	US-5,724,345	03/03/1998	Guarneri, Paul Joseph , et al.			12/13/1995
	US-5,727,002	03/10/1998	Miller, C. Kenneth , et al.			01/16/1996
	US-5,751,282	05/12/1998	Girard, Michel , et al.			06/13/1995
	US-5,768,681	06/16/1998	Dan, Asit , et al.			08/22/1995
	US-5,778,182	07/07/1998	Cathey, Deborah Ann , et al.			11/07/1995
	US-5,790,935	08/04/1998	Payton, David W.			01/30/1996
	US-5,801,753	09/01/1998	Eyer, Mark K., et al.			08/11/1995

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002 OMB 651-0031  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		<b>Application Number</b>	09/895,057		
		<b>Filing Date</b>	June 28, 2001		
		<b>First Named Inventor</b>	Curtis E. Jutzi		
		<b>Group Art Unit</b>	2134		
		<b>Examiner Name</b>	Tran, Ellen C.		
Sheet 2 of 7		Attorney Docket No: 42P11869			

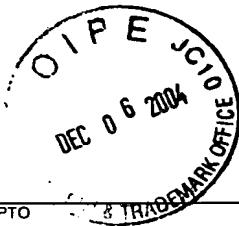
	US-5,867,799	02/02/1999	Lang, Andrew K., et al.			04/04/1996
	US-5,920,700	07/06/1999	Gordon, Yvette , et al.			09/06/1996
	US-5,930,493	07/27/1999	Ottesen, Hal Hjalmar , et al.			06/07/1995
	US-5,937,411	08/10/1999	Becker, Craig Henry			11/21/1997
	US-5,940,738	08/17/1999	Rao, R. Padmanabha			12/05/1995
	US-5,945,988	08/31/1999	Williams, Christopher D., et al.			05/30/1997
	US-5,973,683	10/26/1999	Cragun, Brian John , et al.			11/24/1997
	US-5,977,964	11/02/1999	Williams, Christopher D., et al.			01/05/1998
	US-5,991,841	11/23/1999	Gafken, Andrew H., et al.			10/24/1997
	US-5,999,526	12/07/1999	Garland, Stuart Mandel , et al.			11/26/1996
	US-6,002,393	12/14/1999	Hite, Kenneth C., et al.			08/22/1995
	US-6,002,852	12/14/1999	Birdwell, Kenneth J., et al.			06/30/1998
	US-6,005,597	12/21/1999	Barrett, David S., et al.			10/27/1997
	US-6,006,247	12/21/1999	Browning, Luke Matthew , et al.			02/27/1997
	US-6,018,768	01/25/2000	Ullman, Craig , et al.			07/06/1998
	US-6,020,883	02/01/2000	Herz, Frederick , et al.			02/23/1998
	US-6,021,433	02/01/2000	Payne, John M., et al.			01/24/1997
	US-6,055,560	04/25/2000	Mills, William Allen , et al.			11/08/1996
	US-6,088,722	07/11/2000	Herz, Frederick , et al.			12/24/1997
	US-6,108,645	08/22/2000	Eichstaedt, Matthias , et al.			11/26/1997
	US-6,114,376	11/05/2000	Prichard, Roger K., et al.			04/28/1998
	US-6,119,189	11/07/2000	Gafken, Andrew H., et al.			10/24/1997
	US-6,125,259	09/26/2000	Perlman, William			05/07/1996
	US-6,131,127	10/10/2000	Gafken, Andrew H., et al.			10/24/1997
	US-6,144,376	11/07/2000	Connelly, Jay			11/15/1996

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



PTO/SB/08A(10-01)  
Approved for use through 10/31/2002 OMB 651-C031  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known <b>Application Number</b> 09/895,057 <b>Filing Date</b> June 28, 2001 <b>First Named Inventor</b> Curtis E. Jutzi <b>Group Art Unit</b> 2134 <b>Examiner Name</b> Tran, Ellen C.	
Sheet 3 of 7		Attorney Docket No: 42P11869	

	US-6,148,005	11/14/2000	Paul, Sanjoy , et al.			10/09/1997
	US-6,160,989	12/12/2000	Hendricks, John S., et al.			10/23/1996
	US-6,184,918	02/06/2001	Goldschmidt Iki, Jean M., et al.			09/30/1997
	US-6,279,040	08/21/2001	Ma, Gin-Kou , et al.			08/21/2001
	US-6,289,012	09/11/2001	Harrington, Robert J., et al.			08/03/1998
	US-6,289,510	09/11/2001	Nakajima, Ryoetsu			09/02/1998
	US-6,298,482	10/02/2001	Seidman, David Israel , et al.			11/12/1997
	US-6,304,578	10/16/2001	Fluss, Harold Shrake			05/01/1998
	US-6,317,881	11/13/2001	Shah-Nazaroff, Anthony A., et al.			11/13/2001
	US-6,349,321	02/19/2002	Katayama, Isao			04/29/1998
	US-6,359,557	03/19/2002	Bilder, Mitchell K.			01/26/1998
	US-6,359,571	03/19/2002	Endo, Yoshinori , et al.			10/13/1999
	US-6,374,405	04/16/2002	Willard, Pierre			02/17/1999
	US-6,378,036	04/23/2002	Lerman, Jesse S., et al.			03/12/1999
	US-6,389,593	05/14/2002	Yamagishi, Yasuaki			12/11/1996
	US-6,397,387	05/28/2002	Rosin, Robert , et al.			06/02/1997
	US-6,424,625	07/23/2002	Larsson, Peter , et al.			10/28/1998
	US-6,434,747	08/13/2002	Khoo, Denis , et al.			01/19/2000
	US-6,449,632	09/10/2002	David, Esther , et al.			04/01/1999
	US-6,457,010	09/24/2002	Eldering, Charles A., et al.			12/03/1998
	US-6,460,036	10/01/2002	Herz, Frederick S., et al.			12/05/1997
	US-6,467,089	10/15/2002	Aust, Edgar W., et al.			12/23/1997
	US-6,480,783	11/12/2002	Myr, David			03/17/2000
	US-6,490,722	12/03/2002	Barton, James M., et al.			10/20/1999
	US-6,513,069	01/28/2003	Abato, Michael R., et al.			09/15/1999
	US-6,526,455	02/25/2003	Kamimura, Kunio			02/04/1997
	US-6,529,526	03/04/2003	Schneidewend, Daniel Richard			11/12/1998
	US-6,557,042	04/29/2003	He, Li-Wei , et al.			03/19/1999
	US-6,570,843	05/27/2003	Wolfgang, H. Lewis			05/19/1999
	US-6,571,389	05/27/2003	Spyker, Andrew W., et al.			04/27/1999

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

U.S. PATENT AND TRADEMARK OFFICE  
DEC 06 2004  
JC10

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 651-0031  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known <b>Application Number</b> 09/895,057 <b>Filing Date</b> June 28, 2001 <b>First Named Inventor</b> Curtis E. Jutzi <b>Group Art Unit</b> 2134 <b>Examiner Name</b> Tran, Ellen C.			
Sheet 4 of 7		Attorney Docket No: 42P11869			

	US-6,574,518	06/03/2003	Lounsberry, Brian D., et al.			11/29/1999
	US-6,578,199	06/10/2003	Tsou, I-Wen , et al.			11/12/1999
	US-6,594,682	07/15/2003	Peterson, Leonard J., et al.			10/28/1997
	US-6,601,237	07/29/2003	Ten Kate, Warner R., et al.			12/22/1999
	US-6,617,980	09/09/2003	Endo, Yoshinori , et al.			02/07/2002
	US-6,678,890	01/13/2004	Cai, Kaili			03/08/2000

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	EP-0902569 A1	03/17/1999	Shur, David Hilton , et al.			
	EP-1028551	08/16/2000	White, K. Gail , et al.			
	EP-1089201	04/04/2001	Duda, Carl R., et al.			
	EP-1089572	04/04/2001	Yamada, Makoto , et al.			
	WO-00/01149	01/06/2000	Wachtfogel, Reuven , et al.			
	WO-00/64165	10/26/2000	Eldering, Charles A., et al.			
	WO-01/15449	03/01/2001	Vamparys, Franck			
	WO-01/15451	03/01/2001	Wu, Bo , et al.			
	WO-01/17195	03/08/2001	Bowman-Amuah, Michel K.			
	WO-01/72042	09/27/2001	Connelly, Jay H.			
	WO-01/93524	12/06/2001	Cox, Steve , et al.			
	WO-02/103940	12/27/2002	Connelly, Jay			
	WO-02/103941	12/27/2002	Connelly, Jay			
	WO-02/104030	12/27/2002	Connelly, Jay			
	WO-02/104031	12/27/2002	Connelly, Jay			
	WO-02/48863	06/20/2002	Hallford, Jason			
	WO-03/028381	04/03/2003	Jutzi, Curtis			
	WO-03/030540	04/10/2003	Jutzi, Curtis , et al.			
	WO-03/061245	07/24/2003	Pendakur, Ramesh			
	WO-97/26729	07/24/1997	Robinson, Gary B.			
	WO-99/44159	09/02/1999	Jaye, Daniel			
	WO-99/65237	12/16/1999	Ismail, Labeeb K., et al.			

#### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known <b>Application Number</b> 09/895,057 <b>Filing Date</b> June 28, 2001 <b>First Named Inventor</b> Curtis E. Jutzi <b>Group Art Unit</b> 2134 <b>Examiner Name</b> Tran, Ellen C.	
Sheet 5 of 7		Attorney Docket No: 42P11869	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Client Infrastructure for Internet-Based Data Services for Digital Television: Enabling a New Class of DTV Services", Intel Architecture Labs, (2000),1-10	
		"Competitive Differences. ReplayTV Rules. Here's why...", Webpages. <URL: http://www.replaytv.com/overview/differences.htm, ReplayTV,(03/21/00),1-2	
		"Digital Video Broadcasting (DVB); Specification for Service Information (SI) in DVB Systems", ETSI EN 300 468 V1.4.1 European Standard (Telecommunications series),(Nov. 2000),1-85	
		"Enhanced Digital Broadcast", Webpages. <URL: http://www.developer.intel.com/ial/home/digentertain/edg.htm, IAL Digital Entertainment,(08/21/01),1-3	
		"Features", Webpage <URL http://www.replaytv.com/overview/features.htm, ReplayTV,(03/21/00),1-2	
		"Features Benefits", Webpages. <URL http://www.replaytv.com/overview/details.htm, ReplayTV,(03/21/00),1-3	
		"Frequently Asked QUESTIONS", Webpages. <URL http://www.tivo.com//what/faq_sub.html, TiVo.com,(03/21/00),1-9	
		"Frequently Asked Questions. Will ReplayTV make more popular? And other frequently asked questions.", Webpages. <URL http://www.replaytv.com/overview/faqs.htm, ReplayTV,(03/21/00),1-4	
		"Internet and Broadcast: The Key to Digital Convergence. Utilizing Digital Technology to Meet Audience Demand", Intel Architecture Labs Digital Entertainment Initiative, (2000),1-4	
		"PCT International Search Report", Appl. No. PCT/US01/43862, Mailed Dec. 4, 2003,1-4	
		"PCT International Search Report", Appl. No. PCT/US02/17270, Mailed June 3, 2003,1-4	
		"PCT International Search Report", Appl. No. PCT/US02/17381, Mailed October 20, 2003,1-6	
		"PCT International Search Report", Appl. No. PCT/US02/17316, Mailed November 6, 2002,1-8	
		"PCT International Search Report", Appl. No. PCT/US02/29099, Mailed March 28, 2003,1-7	
		"PCT International Search Report", Appl. No. PCT/US03/00169, Mailed June 2, 2003,1-7	
		"Program and System Information Protocol for Terrestrial Broadcast and Cable (Revision A) and Amendment No. 1", Advanced Television Systems Committee, Originally Published Dec. 27, 1997,(05/31/2000),i-iii, 1-135	
		"Technical Specifications", Webpage. <URL http://www.replaytv.com/overview/techspecs.htm, ReplayTV,(03/21/00),1	
		"What is ReplayTV? Introducing the new ReplayTV 2020", Webpage. <URL: http://www.replaytv.com/overview/index.htm, ReplayTV,(03/21/2000),1	

EXAMINER

DATE CONSIDERED



PTO/SB/08A(10-01)  
Approved for use through 10/31/2002 CMB 651-0231  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Complete if Known Application Number   09/895,057 Filing Date   June 28, 2001 First Named Inventor   Curtis E. Jutzi Group Art Unit   2134 Examiner Name   Tran, Ellen C.	
Sheet 6 of 7		Attorney Docket No: 42P11869	

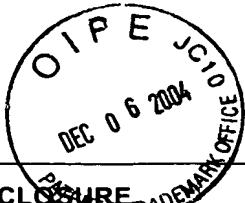
OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"What is TiVo? Introduction to TiVo. A Better Way to WATCH TV", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/intro.html">http://www.tivo.com/what/intro.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? How TiVo Works. PRODUCT Specifications", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/how2.html">http://www.tivo.com/what/how2.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? How TiVo Works. What You Want, When You Want It", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/how.html">http://www.tivo.com/what/how.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? Introduction TiVo. Channel SURF in a Whole New Way", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/intro4.html">http://www.tivo.com/what/intro4.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? Introduction to TiVo. Control LIVE TV", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/intro2.html">http://www.tivo.com/what/intro2.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? Introduction to TiVo. Something GOOD is Always On", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/intro3.html">http://www.tivo.com/what/intro3.html</a> , TiVo.com,(03/21/00),1	
		"What is TiVo? Introduction to TiVo. DIGITAL Recordings without the Tape", <u>Webpage</u> . <URL <a href="http://www.tivo.com/what/intro5.html">http://www.tivo.com/what/intro5.html</a> , TiVo.com,(03/21/00),1	
		"White Paper, Internet Protocol (IP) Multicast Technology Overview", <u>Webpages</u> . <URL: <a href="http://www.cisco.com/warp/public/cc/pd/iosw/tech/ipmu_ov.htm">http://www.cisco.com/warp/public/cc/pd/iosw/tech/ipmu_ov.htm</a> , Cisco Systems, Inc.,(06/29/01),1-16	
		GUMMALLA, AJAY CHANDRA V., "An Access Protocol for a Wireless Home Network", <u>IEEE</u> , Wireless Communications and Networking Conference, 21 September 1999,(1999),1392-1396	
		HARTWIG, STEPHAN , et al., "Broadcasting and Processing of Program Guides for Digital TV", <u>SMPTE Journal</u> , SMPTE, Inc., Vol. 106, No. 10, Scarsdale, NY, XP000668926,(10/1997),727-732	
		HASKELL, BARRY G., et al., "Digital Video: An Introduct to MPEG-2", <u>Digital Multimedia Standards Series</u> , Chapman & Hall,(1977),cover, 280-283	
		HAUBNER, DR. P. , et al., "Netzdienste fur Multimediale Anwendungen CSCW - MBone", <u>Teleseminar: Multimedia Systeme - Technologie and Gestaltung WS 95/96</u> , XP002248684,(1996),1-20	
		HOLFEDER, WIELAND , "Interactive Remote Recording and Playback of Multicast Videoconferences", XP002088645,(09/10/1997),450-463	
		HU, QINGLONG , et al., "Power Conservative Multi-Attribute Queries on Data Broadcast", <u>IEEE</u> , Data Engineering, 16th International Conference, San Diego, Calif.,(2000),157-177	
		HWANG, REN-HUNG , et al., "Scheduling Policies for an VOD System over CATV Networks", <u>IEEE</u> , Department of Computer Science & Information Engineering,(1997),438-442	
		SMYTH, BARRY , et al., "A Personalized TELEVISION Listings Service", <u>Communications of the ACM</u> , Vol. 43, No.8,(08/2000),107-111	
		WITTIG, HARTMUT , et al., "Intelligent Media Agents in Interactive Television Systems", <u>IEEE</u> , Proceedings of the Int'l Conf. on Multimedia Computing and	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



PTO/SB/08A(10-01)

Approved for use through 10/31/2002 OMB 651-0031  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	09/895,057
<b>Filing Date</b>	June 28, 2001
<b>First Named Inventor</b>	Curtis E. Jutzi
<b>Group Art Unit</b>	2134
<b>Examiner Name</b>	Tran, Ellen C.

Sheet 7 of 7

Attorney Docket No: 42P11869

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Systems, Los Angeles, CA XP000603484,(05/15/1995),182-189	

**EXAMINER**

**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached